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Commissioner for Patents P.O. Box 1450
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Sir:

I, Dr. Chaitan Khosla, do hereby declare as follows:

I am a co-inventor of the above captioned patent application. I am the co-author of the research publication: Shan et al. (2002) Science vol. 297, page 2275.

The Examiner has noted that the Shan *et al.* reference discloses the 33mer oligopeptide LQLQPFPQPQLPYPQPQLPYPQPQPPF (SEQ ID NO:12). The published article was a description, in part, of the invention conceived by myself and my co-inventor, Lu Shan, and as such, is not a publication by another.

The article lists as co-authors Oyvind Molberg, Isabelle Parrot, Felix Hausch, Ferda Feliz, Gary Gray, and Ludvig Sollid, who are not named as co-inventors.

As set forth by the court in *In re Katz*, 215 U.S.P.Q. 14; and MPEP §715.01(c), authorship of an article by itself does not raise a presumption of inventorship with respect to the subject matter disclosed in the article. Thus, coauthors may not be presumed to be coinventors merely from the fact of coauthorship.

The conceptualization of these experiments and the formulation of the invention were the sole work of myself and Dr. Shan. The co-authors provided useful technical assistance but did not contribute to the conception of the claimed invention. Dr. Gray and Dr. Feliz assisted with the design and implementation of the animal and human biopsy experiments in the paper.

Dr. Hausch assisted with proteolysis experiments. Dr. Parrot assisted with circular dichroism experiments. Dr. Molberg and Dr. Sollid assisted with T cell experiments. The contributions of these co-authors did not represent an inventive contribution to the subject matter claimed in the present application.

I hereby declare that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

M	Chaitan Khosla
March 2, 2010	
Date:	Ву
	Chaitan Khosla, Ph.D.

plicated in Alzheimer's disease (Fig. 3B, cytoskeletal/neuronal cluster). Most of the other known genes in this cluster are implicated in neuronal pathfinding and cell adhesion, including *E-cadherin*, which encodes a protein associated with the presentlin complex (28), and *Notch*, which encodes a substrate of the presentlin complex (29, 30). The cluster of 21 genes is enriched for components and substrates of the presentlin complex.

These data (24) provide an overview of gene expression profiles during Drosophila development. An unusually high proportion of the genes are developmentally regulated, but of 4028 genes analyzed, only 903 are previously named Drosophila genes with a known mutant phenotype, biochemical function, or protein homology. Fifty-one percent of the genes fall into 50 clusters with correlation coefficients greater than 0.80 (for an annotated hierarchical cluster, see fig. S7, green bars). Virtually all the clusters contain genes with known or predicted roles in development or physiology, and genes to which a biochemical or cellular function has been assigned by the GO project (12) [all genes in these clusters are listed in the online database (24)]. A large number of the clusters contain genes that are used together in specific developmental or biochemical processes. On the basis of their developmental expression patterns, we have tentatively assigned 53% of the genes to a developmental or biological functional category (for example, male germ line, female germ line, eye, muscle, early zygotic, biochemical complex, or cell biology function).

In addition to providing functional annotation of the *Drosophila* genome, these studies are a step toward a complete description of the genetic networks that control development.

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32. We thank Berkeley Drosophila Genome Project/ Howard Hughes Medical Institute (HHMI) expressed sequence tag (EST) sequencing project and Research Genetics for providing the EST library, P. Lem and G. Gibson for resequencing the EST library, C. Fan for technical assistance, the Minx Fuller lab for testes RNA, T. Jones for assistance in EST data management and analysis, and I. SanGil for database support. M.P.S., E.E.M.F., and B.H.N. were supported by a Defense Advanced Research Projects grant, M.A.K. and M.P.S. are investigators of the HHMI, F.I. was supported by an NIH Medical Scientist Training Program fellowship, B.S.B. and M.A.K. acknowledge support from National Institute of General Medical Studes (NIGMS) and National Institute of Neurological Disorders and Stroke. M.N.A. acknowledges support from NIGMS, R.W.D. is supported by the National Human Genome Research Institute (NHGRI). Supported by a grant from the NHGRI to K.P.W.

Supporting Online Material

www.sciencemag.org/cgi/content/full/297/5590/2270/

Materials and Methods

Figs. S1 to S7 Tables S1 to S30

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Structural Basis for Gluten Intolerance in Celiac Sprue

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Celiac Sprue, a widely prevalent autoimmune disease of the small intestine, is induced in genetically susceptible individuals by exposure to dietary gluten. A 33-mer peptide was identified that has several characteristics suggesting it is the primary initiator of the inflammatory response to gluten in Celiac Sprue patients. In vitro and in vivo studies in rats and humans demonstrated that it is stable toward breakdown by all gastric, pancreatic, and intestinal brush-border membrane proteases. The peptide reacted with tissue transglutaminase, the major autoantigen in Celiac Sprue, with substantially greater selectivity than known natural substrates of this extracellular enzyme. It was a potent inducer of gut-derived human T cell lines from 14 of 14 Celiac Sprue patients. Homologs of this peptide were found in all food grains that are toxic to Celiac Sprue patients but are absent from all nontoxic food grains. The peptide could be detoxified in in vitro and in vivo assays by exposure to a bacterial prolyl endopeptidase, suggesting a strategy for oral peptidase supplement therapy for Celiac Sprue.

Celiac Sprue (also known as Celiac disease or gluten-sensitive enteropathy) is an autoimmune disease of the small intestine caused by the ingestion of gluten proteins from widely prevalent food sources such as wheat, rye, and barley. In many human leukocyte antigen (HLA) DQ2 (or DQ8)—positive individuals, exposure of the small intestine to gluten in-

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duces an inflammatory response, leading to destruction of the villous structure of the intestine (1-3). It commonly appears in early childhood, with severe symptoms including chronic diarrhea, abdominal distension, and failure to thrive. In many patients, symptoms may not develop until later in life, when the disease symptoms include fatigue, diarrhea, and weight loss due to malabsorption, anemia, and neurological symptoms. Celiac Sprue is a lifelong disease, and if untreated it is associated with increased morbidity and mortality (4, 5). Despite its high prevalence in most population groups (>1:200) and serious manifestations, the only effective therapy is strict dietary abstinence from these food grains.

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The principal toxic components of wheat gluten are a family of closely related Pro- and Gln-rich proteins called gliadins (6, 7). However, given the enormous biological diversity and unusual chemistry of gluten proteins, and the absence of satisfactory assays for gluten toxicity, the structural basis for gluten toxicity in Celiac Sprue remains unclear (2). Notwithstanding the heterogeneity of T cell epitopes in gluten, a few epitopes appear to account for most of the α-gliadin-specific recognition by CD4+ T cells from patients (8, 9). These peptides are also substrates of tissue transglutaminase (tTGase) (10, 11), and the products of this enzymatic reaction bind to the HLA DQ2 molecule (12, 13).

To identify the physiologically stable regions of gliadin, recombinant α2-gliadin, a representative α-gliadin (14), was digested with gastric and pancreatic enzymes and analyzed by liquid chromatography coupled with tandem mass spectroscopy and ultraviolet spectroscopy (LC-MS/MS/UV) (15) (Fig. 1). The most noteworthy of the digestive products was a relatively large fragment, the 33-mer LQLQPFPQPQLPYPQPQLPYPQP QLPYPQPQPF (residues 57 to 89) (16). This peptide was of particular interest for two reasons: (i) Whereas most other relatively stable proteolytic fragments were cleaved to smaller fragments when the reaction times were extended, the 33-mer peptide remained intact despite prolonged exposure to proteases. (ii) Three distinct patient-specific T cell epitopes identified previously in T cell proliferation assays (8, 17) are present in this peptide, namely, PFPQPQLPY, PQPQL-PYPQ (three copies), and PYPQPQLPY (two copies). In addition to this Gln- and Pro-rich

33-mer, the peptide WQIPEQSR was also identified and was used as a control in many of the studies that followed. Under similar proteolytic conditions, myoglobin (another common dietary protein) is rapidly broken down into much smaller products (18). No long intermediate is observed to accumulate.

The small intestinal brush-border membrane (BBM) enzymes are vital for breaking down any remaining peptides from gastric or pancreatic digestion into amino acids, dipeptides, or tripeptides (19). BBM fractions were prepared from rat small intestinal mucosa (20). The specific activities of known BBM peptidases were verified to be within the previously reported range (21). Whereas the half-life of disappearance of WQIPEQSR was ~60 min in the presence of BBM protein (12 ng/µl), the half-life of LQLQPF-PQPQLPYPQPQLPYPQPQPF digestion was >20 hours (18), Therefore, the latter peptide must remain intact throughout the digestive process in the stomach and upper small intestine and is poised to act as a potential antigen for T cell proliferation and -intestinal toxicity in genetically susceptible

To validate the initial findings with rat BBM preparations in human small intestine, we prepared small intestinal biopsy material taken as part of the care of five individuals, one of whom carried the diagnosis of Celiac Sprue and was in remission. The other four patients proved to have normal intestinal histology. LQLQPFPQPQLPYPQPPQLPYPQPQLPYPQPQLPYPQPQLPYPQPQLPYPQPQLPY (an internal sequence from the 33-mer used as a control), WQIPEQSR, and other control peptides (100 μM) were incubated with BBM

prepared from each human biopsy (final aminopeptidase N activity $\sim 13~\mu\text{U}/\mu\text{l}$, total protein $\sim 1~\mu\text{g}/\mu\text{l}$) at 37°C for varying time periods. Although QLQPFPQPQLPY, WQIPEQSR, and other control peptides were nearly completely proteolyzed within 1 to 5 hours, the 33-mer peptide remained largely intact for at least 15 hours (Table 1).

The proteolytic resistance of the 33-mer gliadin peptide, observed in vitro with BBM from rats and humans, was confirmed in vivo by a perfusion protocol in intact adult rats (22). Whereas >90% of QLQPFPQPQLPY was proteolyzed in the perfusion experiment, the 33-mer gliadin peptide was highly resistant to digestion (Fig. 2). These results demonstrate that the 33-mer peptide is very stable when it is exposed to the BBM of the mammalian upper small intestine,

Regiospecific deamidation of immunogenic gliadin peptides by tTGase increases their affinity for HLA DQ2 as well as the potency with which they activate patient-de-

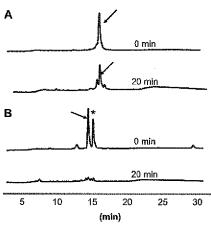
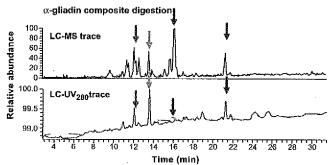


Fig. 2. In vivo BBM digestion of peptides. (A) Reverse-phase liquid chromatography at UV 215 nm (RPLC-UV₂₁₅) trace of 25 μM of LQLQPFPQPQLPYPQPQLPYPQPQPF (shown by arrow) before perfusion and after perfusion of a 20-cm segment of upper small intestine (residence time = 20 min). (B) RPLC-UV₂₁₅ trace of 50 μM of QLQPFPQPQLPY (shown by arrow) before perfusion and after perfusion of the 20-cm segment (residence time = 20 min). *, pyroQLQPFPQPQLPY.

Table 1. Human BBM catalyzed digestion (%) (over 15 hours) of LQLQPFPQPQLPYPQPQLPYPQPQLPYPQPQFP ("33-mer"), QLQPFPQPQLPY ("Control A"), and WQIPEQSR ("Control B") derived from a panel of adult biopsies. Asterisk indicates participant diagnosed with Celiac Sprue.

	33-mer	Control A	Control B		
H3	<20	90	90		
H2	<20	61	85		
НЗ	<20	87	95		
H4*	<20	96	95		
H5	<20	96	95		

Fig. 1. Products of gastric plus pancreatic protease mediated digestion of α2-gliadin under physiological conditions. Analysis was performed by reverse-phase liquid chromatography coupled with electrospray ionization mass spectroscopy (LC-ESIMS). The longest peptides are highlighted by ar-



rows coded to indicate the sequence of $\alpha 2$ -gliadin (bottom right). Although pepsin-catalyzed cleavage of the NH₂-terminal Leu residue of the red-coded 33-mer was observed only in prolonged incubations, this residue was included in the later analysis because it stabilized the peptide from noncatalytic conversion into pyro-Gln peptide. (Pyroglutamination readily occurs during both synthesis and biochemical assays involving peptides with NH₂-terminal Gln residues.)

MVRVPVPQLQPQNPSQQQPQEQVPLVQ QQQFPGQQQPFPPQQPYPQPQPPPSQQ PYLQLQPFPQPQLPYPQPQLPYPQPQL PYPQPQPFRPQQPYPQSQPQYSQPQQP ISQQQQQQQQQQQQXQQXQQQQQQQQQULQQ ILQQQLIPCRDVVLQQHSIAYGSSQVL QQSTYQLVQQLCCQQLWQIPEQSRCQA IHNVVHAIILHQQQQQQQQQQQQPLSQ VSFQQPQQQYPSGQGSFQPSQQNPQAQ GSVQPQQLPQFEEIRNLALETLPAMCN VYIPPYCTIAPVGIFGTNYR

rived gluten-specific T cells (8, 9, 14, 23). The specificity of tTGase for certain short antigenic peptides derived from gliadin is higher than its specificity toward its physiological target site in fibronectin (24, 25). The kinetics and regiospecificity of deamidation of the 33-mer a-gliadin peptide were therefore measured. The $k_{\rm cal}/{\rm K_M}$ (specificity) was higher than that reported for any peptide studied thus far (26). Analysis with LC-MS and MS revealed that, although the deamidation pattern of LQLQPFPQPQLPYPQPQLPYPQ-POLPYPOPPF was complex, mono-deamidated products at the underlined Gln residues accumulated with time. This is consistent with the observed regioselectivity of human tTGase (8, 24). Because preliminary results indicate that tTGase activity is associated with the BBM of intestinal enterocytes (18, 27), it is likely that dietary intake of even small quantities of wheat gluten will lead to the build-up of sufficient quantities of this 33-mer gliadin peptide in the intestinal lumen, which will be recognized and processed

The centrality of this 33-mer in the pathogenesis of Celiac Sprue is highlighted by the observation that not only is the deamidated product an excellent substrate for tTGase, but it is a very potent stimulator [median effective concentration (EC₅₀) \sim 80 nM] of three different HLA DQ2-restricted T cell clones derived from intestinal biopsies of Celiac

Sprue patients stimulated with gluten (28) (Fig. 3). Each clone has been shown to recognize a distinct epitope found in the 33-mer (PFPQPQLPY, PQPQLPYPQ, and PYPQP-QLPY, respectively) (8, 17), Moreover, freshly prepared T cell lines from most Celiac Sprue biopsies are stimulated by one or more of these epitopes, suggesting collective immunodominance of these epitopes. Testing of randomly selected polyclonal T cell fines from 14 different Celiac Sprue patients (Table 2) revealed that each line was very sensitive to the deamidated 33-mer peptide $(EC_{50} \le 1 \mu M)$. Therefore, we interpret the combination of metabolic stability and multivalency of the 33-mer to endow it with exceptional toxic potency against the small intestinal mucosa. These findings vividly reinforce the pathological importance of recent observations that initiation of a T cell-mediated inflammatory response requires the multivalent engagement of T cell receptor-major histocompatibility complexes (MHCs) by an antigenic peptide (29, 30). They also suggest how this multivalent peptide (or its selectively deamidated form), which is intrinsically inert toward digestive breakdown, might be used orally for vaccination, prevention, and/ or treatment of Celiac Sprue (31, 32),

Sequence alignment searches using BLASTP in all nonredundant protein databases revealed several homologs (E value < 0.001) of the 33-mer gliadin peptide. Food grain-derived

homologs were only found in gliadins (from wheat), hordeins (from barley), and secalins (from rye), all of which are toxic cereals in the Celiac diet (6) (fig. S1). Nontoxic food grain proteins, such as avenins (in oats), rice, and maize, do not contain homologous sequences to the 33-mer gliadin. In contrast, a BLASTP search with the entire a2-gliadin sequence identified food grain protein homologs from both toxic and nontoxic proteins. On the basis of available information regarding the substrate specificities of gastric, pancreatic, and BBM proteases and peptidases (19), we predict that, although many gluten homologs of the 33-mer gliadin peptide contain proteolytic sites and are therefore likely to be digested over time, several sequences from wheat, rye, and barley can be expected to be comparably resistant to gastric and intestinal proteolysis as LQLQPF-PQPQLPYPQPQLPYPQPQPF

The primary sequence of the 33-mer gliadin peptide also had homologs among a few nongluten proteins. Among the strongest homologs were internal sequences from pertactin (a highly immunogenic protein from *Bordetella pertussis*) and a mammalian protein tyrosine phosphatase of unknown function. In both cases, available information suggested that this homology could have biological relevance. For example, the region of pertactin that is homologous to the 33-mer gliadin peptide is known to be part of the immuno-

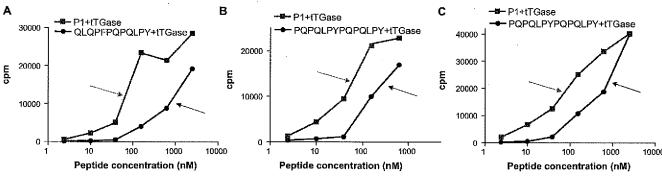


Fig. 3. Stimulation of three HLA DQ2-restricted T cell clones (TCC) derived from intestinal biopsies of Celiac Sprue patients by the 33-mer and shorter epitopes. EC_{50} indicated by arrows. Maximal

responses used for the estimation of EC₅₀ values were determined in independent experiments. (A) TCC 380.E2. (B) TCC 430.1.135. (C) TCC 370 E-3.19.

Table 2. Comparative response of human polyclonal T cell lines (coded by numbers) derived from intestinal biopsies of Celiac Sprue patients to LQLQPF-PQPQLPYPQPQLPYPQPQLPYPQPQF (33-mer) and representative gliadin epitopes defined by earlier studies. Each antigen was pretreated with tTGase to elicit an enhanced T cell response. Peptide 1206 (YQQLPQPQQPQQSF-

PQQQRPF; corresponding to γ -I); Peptide 1258 (PQPQLPYPQPQLPY; corresponding to α -II); Peptide 1306 (IIQPQQPAQ; corresponding to γ -II); Peptide 1317 (LQPQQPFPQQPQQPYPQQPQ; corresponding to γ -III + γ -V). Blank cell implies no response was observed for these peptides against the corresponding T cell lines at deamidated peptide concentrations up to 10 μ M.

	EC _{so} (μM)													
	411.1	412,1	432,1,4	450,2,2	422,02,4,2	488.3.1	437.1.1	425.1	419.1	435.6	467.2E.1	410.1	380.1	421.1.4
Peptide 1206			2.5									····	· · · · · · · · · · · · · · · · · · ·	
Peptide 1258 peptide 1306	1.6	2.5	0.62	0.62 0.65	0.16	1.6	2.5	['] 4	0.16		0.6	2.5	3	6 2.5
Peptide 1317		2.5	2,5			1.6		5						3
33-mer	0.1	0.15	0,05	0.04	0.05	80.0	0.08	0.4	0.015	0.04	0.1	0.4	0.6	0,6

dominant segment of the protein (34). In the case of the phosphatase homolog, the protein is known to undergo vesicular trafficking into the cytoplasmic Golgi (35). By analogy with the current understanding of how gliadin peptides are acquired by HLA-DQ2 via a tTGase-mediated pathway (3), we hypothesized that these Pro-Gln-rich segments of both pertactin and the phosphatase are likely to be high-affinity tTGase substrates. To test this hypothesis, we synthesized the corresponding peptides and measured the selectivity of tTGase for these. As predicted, both peptides were found to be good substrates of tTGase (36). Studies by Pastan and co-workers showed that tTGase plays a key role in receptor-mediated endocytosis of several biologically important proteins (37). Therefore, we therefore propose that the biological activities of both pertactin and the phosphatase depend on tTGase-mediated trafficking. Analogous to gliadin in Celiac Sprue, pertactin elicits a vigorous antibody response because it reacts with tTGase on the extracellular surface of antigen presenting cells to produce a long-lived intermediate that is internalized via endocytosis and presented to the immune system via the class II MHCmediated pathway. If this analogy holds, then, drawing upon the Celiac Sprue analogy, one could predict that tTGase-mediated endocytosis might be a highly effective mechanism for oral vaccination with the use of immunogenic peptide epitopes, as long as

they are intrinsically resistant to the action of pepsin, trypsin, chymotrypsin, and elastase. By flanking such epitopes with proteolytically stable high-affinity tTGase substrates [e.g., the sequence PQPQLPYPQPQLP from gliadin (21)], they could be protected from exposure to potent pancreatic and intestinal exopeptidases and would therefore have sufficiently long half-lives to permit efficient stimulation of the gut-associated lymphoid system. Secondary structural studies using circular dichroism spectroscopy on the 33mer gliadin peptide and its homologs from pertactin and the tyrosine phosphatase reveal that these peptides have strong type II polyproline helical character (fig. S2). In addition to reinforcing the proteolytic resistance of these peptides, the type II polyproline helical conformation is typical of peptides bound to class II MHC proteins and is likely to enhance their binding to these proteins (38,

The abundance and location of proline residues is a crucial factor contributing to the resistance of the 33-mer gliadin peptide to gastrointestinal breakdown. Therefore, we hypothesized that a prolyl endopeptidase could catalyze breakdown of this peptide, thereby diminishing its toxic effects. Preliminary in vitro studies with short gliadin peptides and the prolyl endopeptidase (PEP) from Flavobacterium meningosepticum supported this hypothesis (21). The ability of this PEP to cleave the 33-mer gliadin peptide was

evaluated in vitro (Fig. 4A) and in vivo using the rat intestinal perfusion model (Fig. 4B). In the latter assay, the synergistic effect of PEP and BBM peptidases was evident. Moreover, the T cell stimulatory potential of PEP-treated peptide was shown to decrease rapidly (Fig. 4C). Given the preference of PEP for Pro-Xaa-Pro tripeptides (40) and the abundance of this motif in immunogenic peptides from gluten (41), these results highlight the potential of detoxifying gluten in Celiac Sprue patients by peptidase therapy.

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- Materials and methods are available as supporting online material on Science Online.
- Single-letter abbreviations for the amino acid residues are as follows: A, Ala; C, Cys; D, Asp; E, Glu; F, Phe; G, Gly; H, His; I, Ile; K, Lys; L, Leu; M, Met; N, Asn; P, Pro; Q, Gln; R, Arg; S, Ser; T, Thr; V, Val; W, Trp; and Y, Tyr.
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- (2002).25. For example, the specificity of tTGase for the α-gliadin-derived peptide PQPQLPYPQPQLPY is fivefold
- higher than that for its target peptide sequence in fibrinogen, its natural substrate (24).

 26. $k_{\rm cat}/K_{\rm M}=440\,{\rm min^{-1}mM^{-1}}$ for LQLQPFPQPQLPYPQPQLPYPQPQPF compared with $k_{\rm cat}/K_{\rm M}=82\,{\rm min^{-1}mM^{-1}}$ for PQPQLPY (24) and $k_{\rm cat}/K_{\rm M}=350\,{\rm min^{-1}mM^{-1}}$
- min⁻¹mM⁻¹ for PQPQLPY (24) and $k_{cat}K_{M} = 350$ min⁻¹mM⁻¹ for PQPQLPYPQPQLPY.
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 T. sell clopes and T. sell lines were established from
- 28. T cell clones and T cell lines were established from small intestinal biopsies of adult HLA DQ2-positive Celiac Sprue patients as described (8). Stimulation of T cells by peptides was measured by a [7H]-thymidine incorporation assay. HLA DQ2 homozygous Epstein Barr Virus-transformed B cell lines were used as antigen presenting cells.
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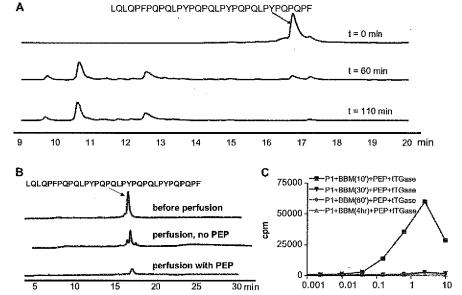


Fig. 4. Breakdown and detoxification of 33-mer gliadin peptide with PEP. (A) RPLC-UV $_{215}$ traces of the 33-mer gliadin peptide (100 μ M) incubated in vitro with PEP (540 mU/ml). (B) RPLC-UV $_{215}$ traces of in vivo digested 33-mer gliadin peptide (25 μ M) with and without PEP (25 mU/ml) in the rat small intestine (residence time = 20 min). (C) Stimulation of T cell clone TCC 380.E2 (specific for QPFPQPELPY) by 33-mer gliadin peptide after PEP (500 mU/ml) and BBM (dipeptidyl peptidase activity 500 mU/ml) treatment for different durations, followed by tTGase treatment. The data for TCC 430.1.135 and TCC 370 E-3.19 were similar (not shown; see Fig. 3 caption).

- 33. The stable peptide homologs to the 33-mer α 2gliadin peptide are QPQPFPPQLPYPQTQPFPPQQP-YPQPQPQYPQPQ (from α1- and α6-gliadins); QQQPFPQQPIPQQPQPYPQQPQPYPQQPFPPQQPF (from 81 hordein); QPFPQPQQTFPQQPQLPFPQQP-QQPFPQPQ (from γ-gliadin); QPFPQPQQPT-PIQPQQPFPQRPQQPFPQPQ (from ω-secalin).
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Supporting Online Material

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Materials and Methods

Figs. S1 and S2

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